



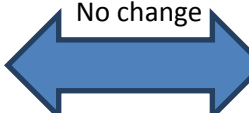
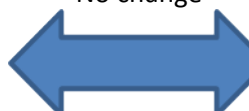




Ref	Description of Risk/ Opportunity	Risk Level	Further Action required	Accountable Officer	Accountable Group	Ref to Draft Corporate Priorities 2016-20	Direction of Travel
L1	<p>Delivering further efficiency savings through the Cost Reduction Plan as part of the Medium Term Financial Strategy</p> <p>There is some uncertainty in the financial markets with lower returns on investments as a result of the decision to leave the EU.</p>	High	<p>Need to identify additional efficiency saving s and sources of income through a range of reviews, projects and further efficiencies</p> <p>On-going monitoring of the financial position</p>	Director of Finance & Resources with efficiencies being delivered by Heads of Service	Statutory Officers & Leadership Team	Managing Resources – Delivering further efficiency savings and cost reductions	<p>No change</p> 
L2	Gaps in staffing resources affecting resilience levels and the ability to deliver services.	High	<p>Continue to review high risk areas with gaps and take action as necessary. Use Agency staff to cover and then seek to permanently recruit.</p> <p>Implement recommendations from mini DMA Review</p> <p>Embed use of new arrangements for Agency</p> <p>Corporate Well -being promoted through Well-being week</p> <p>As part of corporate H&S high risk of staff being affected by stress will be reviewed and range of action taken</p> <p>Continue to review highlight reports for delivery of key projects.</p>	Leadership Team	Leadership Team	Managing Resources – Developing multi skilled & motivated staff & delivering further efficiency savings and cost reductions	<p>Reworded</p> 

L3	Workforce Planning - review of workforce including staffing levels, skills, pay gaps and resilience corporately and departmentally.	High	Implement recommendations from Workforce Planning Audit Deliver the Organisational Development Plan through strategic streams on culture, structure and job design; reward and recognition and performance enhancement. Roll out training on gaps areas such as information governance, project management, contract management and procurement.	Leadership Team and Head of HR & Org Dev.	Leadership Team/ HR Panel	Developing multi skilled & motivated staff	Reworded 
L4	Failure to take opportunities for transformational change and IT related changes and corporate projects.	High	New Business Process Review (BPR) Reviews to be agreed. New website to go live and also the new system for Rev & Bens payment IT Strategy for 2016-2020	Leadership Team	Council	Underpinning all Corporate Priorities.	No change 
L5	IT systems – Resilience and efficient running of network and applications .	Medium	Embed the Shared Service Compliance with PCI & Code of Connection (Coco). Review needs as part of ongoing requirements and capital programme	Head of ICT	S&R	Providing services digitally	No change 
L6	Compliance with Data Protection and Information Governance legislation and best practice	Medium	The Corporate Governance Group are monitoring the implementation of the Information Governance Action Plan	Head of Legal and Head of ICT	S&R	Underpinning all Corporate Priorities.	No change 
L7	Introduction of new waste strategy and the	High	The tendering of the Transport Fleet is being supported by a consultant	Head of Operational	S&R Leadership	Increase in recycled waste	NEW

	new Transport Fleet by March 2107		and a implementation plan is being developed for the changes to waste collection	Services	Team	through a premium weekly recycling collection	
L8	Managing the Council's asset portfolio to maximise income and minimise costs.	High	Head of Property to review all property assets with a view to reducing costs and generating income and agree a maintenance plan for all buildings	Corporate Property Group	Statutory Officer Group & S&R		Reworded 
L9	Managing the risks of delivering Phase 1 of Plan E improvement scheme with various partners	High	Surrey County Council is managing the work although there is significant investment from the Council to be managed and monitored as well as the public's requirements.	Head of Place Dev	Leadership Team	Delivery of Plan E to improve traffic flow in Epsom Town Centre and the delivery of economic dev strategy action plan	NEW
L10	Delivering more affordable housing and managing cost of homelessness	Medium	Continue to monitor the overall costs of temporary accommodation Deliver a number of projects including; Blenheim flats, Additional accommodation, Empty properties back in use, New allocation system live from 1 Sept 16.	Housing Project Team & Director of Finance & Resources	S&R	Supporting and enabling the delivery of affordable homes. Supporting those at risk of homelessness	No change 

Defined Strategic Risk Levels

	Low	Medium	High
<u>Impact of risk materialising</u>			
Finance –	Budget pressures < £100,000	Budget pressures between £100,000 and £200,000	Budget pressures > £200,000
Reputation	Loss of confidence and trust in the council felt by a small group or within a small geographical area	A limited loss of confidence and trust in the council within the local community	A major loss of confidence and trust in the council within the local community and wider with national interest
Service delivery	Disruption to a council service	Some disruption to more than one service across the council or severe disruption to one service	Severe wide spread disruption to services across the council
Health and Safety	Minor injury or illness requiring minimal intervention or treatment	Moderate injury or illness requiring professional intervention / multiple minor injuries	Extensive and multiple injuries including possible loss of life
Likelihood_of risk materialising	Remote / low probability	Possible / medium probability	Almost certain / highly probably

The above is not a prescriptive criteria, but a guidance tool for management.